

Open Recommendations as of December 2, 2022 (By Fiscal Year)

Audit No.	Audit Title	Report Date	Agreement Date	Rec #	Recommendation
FY 2016					
OIG-AMR-77-16-02	Training and Conferences	9/27/2016	9/27/2016	5	We recommend that OED require continuing service agreements for all employees taking training.
OIG-AMR-77-16-02	Training and Conferences	9/27/2016	9/27/2016	7	We recommend that the Division of Administration develop and implement a Management Succession Plan.
OIG-AMR-77-16-02	Training and Conferences	9/27/2016	9/27/2016	11	We recommend that the OCFO develop and implement policies and procedures for the travel of employees in a local commuting area.
Total FY 2016	3				
FY 2020					
OIG-AMR-88-20-03	Backpay Accounting	12/10/2019	12/10/2019	3	We recommend that the Finance Branch reconcile the backpay cases with recurring journal voucher entries and take appropriate action to correct the accounting errors.
OIG-AMR-91-20-04	FY 2019 Budget Execution	9/16/2020	9/16/2020	2	Establish and implement an IPT internal control process for capital projects that meets the criteria established by OMB Circular A-11.
Total FY 2020	2				
FY 2021					
OIG-AMR-95-21-03	DATA Act	8/30/2021	8/30/2021	1	Develop and implement internal controls to ensure that: <ul style="list-style-type: none"> a. Procurement data in the financial system is recorded in an accurate and timely manner; b. Procurement actions are reported into FPDS-NG within the time requirement set out in the FAR; and c. There is a documented process of procurement data quality control that enables the SAO to reasonably provide assurances of validity, reliability, and completeness of the DATA Act submission. The process should include adequate segregation of duties and address the errors in the crosswarning report.
OIG-AMR-95-21-03	DATA Act	8/30/2021	8/30/2021	2	Resolve the discrepancies in the Program Activity Codes between the financial system, OMB's MAX Collect Repository, and the President's Budget Program and Financing Schedule.
Total FY 2021	2				
FY 2022					
OIG-AMR-94-22-02	NxGen Data Accuracy	11/16/2021	11/16/2021	1	Develop and implement a quality review process at the local Regional Office level that includes review of the data at key points during the case life cycle.
OIG-AMR-94-22-02	NxGen Data Accuracy	11/16/2021	11/16/2021	3	Develop and implement a standard methodology for documenting data in the NxGen case file.
OIG-AMR-94-22-02	NxGen Data Accuracy	11/16/2021	11/16/2021	4	Develop and implement a training program that focuses on NxGen data quality controls and processes. This program should include new employee NxGen orientation, periodic refresher training, and supervisory data quality review.
OIG-AMR-97-22-04	Casehandling Efficiency	6/27/2022	6/27/2022	3	Develop appropriate internal controls related to strategic planning, goal implementation management, and data management.
OIG-AMR-100-22-05	FY 2022 FISMA	8/26/2022	8/26/2022	1	We recommend that the OCIO perform corrective actions to ensure that the System Security and Privacy Plan is updated to comply with NIST 800-53 Revision 5.
OIG-AMR-98-22-06	Division of Judges	9/29/2022	9/29/2022	1	We recommend that the Division of Judges develop internal controls to ensure the completeness and accuracy of its case processing data to include but not limited to the assignment of cases to ALJs.
OIG-AMR-98-22-06	Division of Judges	9/29/2022	9/29/2022	2	We recommend that the Division of Judges consult with the Board and determine if the current decision issuance time targets are relevant and appropriate.
OIG-AMR-98-22-06	Division of Judges	9/29/2022	9/29/2022	3	We recommend that the Division of Judges adopt appropriate internal controls to address the issuance of ALJ decisions in accordance with its time targets.

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OIG-AMR-98-22-06	Division of Judges	9/29/2022	9/29/2022	4	We recommend that the Division of Judges develop and implement policies and procedures that ensure the assignment of cases on a rotational basis.
Total FY 2022					9
Total Open Recommendations					16