



**UNITED STATES GOVERNMENT**  
*National Labor Relations Board*  
**Office of Inspector General**

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**Annual Audit Plan**

**Fiscal Year 2023**

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September 2022

## ANNUAL AUDIT PLAN

As set forth in the Inspector General Act of 1978, as amended, the Inspector General is responsible for providing policy direction and conducting, supervising, and coordinating audits relating to the programs and operations of the National Labor Relations Board (NLRB or Agency). The Office of Inspector General (OIG) maintains a planning system for assessing the nature, scope, trends, vulnerabilities, special problems, and inherent risks of Agency programs and operations.

The OIG solicited management's input regarding potential audit areas. We also considered factors that included:

- Statutory and regulatory requirements;
- Financial impact;
- Audit experience and frequency; and
- Sensitivity, newness, or changed conditions.

During our planning process, the OIG sought input from staff members on the Congressional oversight committees as well as the NLRB's senior officials and managers. We discussed their interest in the proposed topics and considered any responses in selecting the audits and inspections for inclusion in the annual audit plan.

The audit universe identifies each organization, program, function, and/or activity subject to audit. The audit universe was developed using the Fiscal Year (FY) 2023 Justification of Performance Budget for the Committee on Appropriations. The results of the Agency's review in accordance with the Federal Managers' Financial Integrity Act (FMFIA) were used as an indicator of risk.

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**AUDIT TITLE:** Procurement Process

**TYPE/SUBJECT:** Performance/Mission Support

**OFFICE:** Office of the Chief Financial Officer  
Acquisitions Management Branch

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**DESCRIPTION:**

The Federal government’s contracting process has many components. Contracting activities, however, can be grouped into three general categories: pre-award, administration, and closeout. These activities are administered in accordance with the Federal Acquisition Regulation (FAR).

The Office of the Chief Financial Officer’s Acquisitions Management Branch provides the acquisition function for Agency purchases that exceed the micro-purchase threshold. NLRB Contracting Officers have authority to enter into, administer, and terminate contracts; and to make related determinations and findings. Contracting Officers may bind the Government only to the extent of the authority delegated to them. Contracting Officers are responsible for ensuring performance of all necessary actions for effective contracting, ensuring compliance with the terms of the contract, and safeguarding the interests of the United States in its contractual relationships. Contracting Officers designate and authorize a Contracting Officer’s Representative (COR), Technical Point of Contact (TPOC), or Point of Contact (POC) on all contractual vehicles to assist in the technical monitoring or administration of a contract. CORs/TPOCs/POCs also have the primary responsibility to approve the payment of invoices.

**OBJECTIVES:**

The objective of the audit is to evaluate the internal controls over the acquisition process and to determine whether procurements are executed in accordance with the FAR.

**TIMING:**

Start date – January 2022  
Estimated completion date – December 2022

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**AUDIT TITLE:** Performance Based Staffing

**TYPE/SUBJECT:** Performance/Casehandling

**OFFICE:** Division of Operations-Management

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**DESCRIPTION:**

The National Labor Relations Board (NLRB or Agency) was established in 1935 to administer the National Labor Relations Act (NLRA). The NLRB has two primary functions: (1) to investigate and resolve (through settlement, prosecution, or dismissal) allegations of statutorily defined unfair labor practices by employers and unions; and (2) to investigate and resolve questions concerning representation among employees to determine whether they wish to be represented by a union.

All NLRB proceedings originate with the filing of charges or petitions by employees, labor unions, employers, or other private parties at the Agency’s field offices. Currently, the field offices consist of 26 Regional Offices, 9 Sub-Regional Offices, and 13 Resident Offices. Approximately 70 percent of the Agency’s staff is employed in the field offices. The Division of Operations-Management performs an analysis of how the Regional Offices are relatively staffed based on case intake, which is considered in hiring decisions.

The Agency’s annual appropriation remained unchanged since Fiscal Year (FY) 2014. During that time, the percentage of the appropriation used for personnel costs ranged between 75 percent and 79 percent. While the percentage of personnel cost remained relatively consistent, during that time period the full-time equivalents (FTE) decreased from 1,610 to 1,207 (25 percent). Additionally, the percentage of decrease in the field office FTE was 34 percent. The Agency’s case intake decreased from 23,101 in FY 2014 to 16,719 in FY 2021 (27.6 percent).

**OBJECTIVES:**

To determine whether field office FTEs are assigned or allocated in accordance with Governmentwide guidance to ensure that the Agency meets its goals and objectives; evaluate the effect of the level funding appropriation on the field office staffing and the processing of field office case work, and to evaluate the internal controls over the Agency’s calculation of field office staffing.

**TIMING:**

Start date – October 2022  
Estimated completion date – March 2023

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|                      |   |
|----------------------|---|
| <b>AUDIT TITLE:</b>  | Representation Decisions and Elections          |
| <b>TYPE/SUBJECT:</b> | Performance/Representation Casehandling         |
| <b>OFFICE:</b>       | Office of the Division of Operations Management |

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**DESCRIPTION:**

Representation petitions are filed by employees, unions and employers seeking to have the NLRB conduct an election to determine if employees wish to be represented for purposes of collective bargaining with their employer. The Board, exercising authority granted by the NLRA, delegated to the Regional Directors its authority to determine the unit appropriate for the purpose of collective bargaining, to determine whether a question concerning representation exists, and to direct elections and certify results. The Regional Director’s decision sets forth findings of a fact, conclusions of law, and a direction of election or order dismissing the petition.

Elections involve conducting the ballot process manually, by mail, or both. The Regional Director has discretion in deciding which type of election to conduct and should consider the following situations when deciding the propriety of using mail ballots: (a) where eligible voters are “scattered” because of their job duties over a wide geographic area; (b) where eligible voters are “scattered” in the sense that their work schedules vary significantly, so that they are not present at a common location at common times; and (c) where there is there is a strike, a lockout or picketing in progress. The GC Memo 21-01, Guidance on Propriety of Mail Ballot Elections, pursuant to *Aspirus Keweenaw*, 370 NLRB No. 45 (2020), provided additional guidance related to using COVID-19 pandemic-related factors, in addition to the factors mentioned above, that would justify a Regional Director’s decision to direct a mail-ballot election instead of a manual election.

The total number of elections has increased since the start of the COVID-19 pandemic. The NLRB election statistics report that in FY 2019, 956 elections were conducted. In FY 2020, 990 elections were conducted, a three percent increase from the previous fiscal year. In FY 2021, 1,365 elections were conducted, a 37 percent increase from the previous fiscal year.

The NLRB also initiated a process to centralize the representation case decision writing. ICG memorandum 18-06, Changes to Case Processing Part 1 discusses establishing a group of employees whose primary function will be to draft pre-election Representation case decisions arising within a particular district. The goal of the centralizing decision-writing was to have a cadre of skilled decision writers who possess the time, resources, and specialized skills to efficiently draft decisions, the quality of which will be more consistent across Regional and District lines.

**OBJECTIVES:**

- (1) Evaluate the Regional Offices’ compliance with the Agency’s mail ballot election procedures;
- (2) Determine if any external factors are impeding the Agency’s mail ballot elections;
- (3) Evaluate the efficiency of consolidated election decision writing; and
- (4) Determine if the Agency’s internal controls for mail ballot elections and representation decision writing are effective.

**TIMING:**

Start date – October 2022

Estimated completion date – May 2023

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**AUDIT TITLE:** Enterprise Risk Management

**TYPE/SUBJECT:** Performance/Mission Support

**OFFICE:** Office of the Chief Financial Officer

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**DESCRIPTION:**

On July 15, 2016, OMB issued its revised Circular No. A 123, Management's Responsibility for Enterprise Risk Management and Internal Control (OMB Circular No. A 123), which established various Enterprise Risk Management processes in the Federal Government. As defined by the Circular, Enterprise Risk Management is “an effective Agency-wide approach to addressing the full spectrum of the organization’s external and internal risks by understanding the combined impact of risks as an interrelated portfolio, rather than addressing risks only within silos.”

OMB Circular No. A-123 requires agencies to implement an Enterprise Risk Management capability coordinated with the strategic planning and strategic review process established by the Government Performance and Results Modernization Act of 2010 (Pub. L. No. 111-352), and the internal control processes required by the Federal Managers' Financial Integrity Act of 1982 (Pub. L. No. 97-255), and the Government Accountability Office’s Standards for Internal Control in the Federal Government (the “Green Book”). Moreover, OMB Circular No. A-123 states that agency managers and Inspectors General should establish “a new set of parameters encouraging the free flow of information about agency risk points and corrective measure adoption,” resulting in the earlier identification of risk, allowing the opportunity to develop a collaborative response, and leading to a more resilient government.

**OBJECTIVE:**

The objective of this audit is to evaluate the Agency’s implementation of Enterprise Risk Management.

**TIMING:**

Start date – January 2023  
Estimated completion date – July 2023



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**AUDIT TITLE:** Insider Threat

**TYPE/SUBJECT:** Performance/Mission Support

**OFFICE:** Office of the Chief Information Officer

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**DESCRIPTION:**

Insider threats involve employees using their authorized access, intentionally or unintentionally, to cause harm to an agency. These threats can include unauthorized disclosure of information, workplace violence, or the loss or degradation of an agency resources or capabilities.

Generally, the NLRB information has only unclassified information. Although not classified, the loss of information by the NLRB through an employee’s intentional or unintentional loss of control of the information can cause great harm to the NLRB and the individual who have provided the information to the NLRB for use in its case processing function.

Executive Order (EO) 13556, Controlled Unclassified Information, establishes an open and uniform program for managing non-classified information that requires safeguarding or dissemination controls pursuant to and consistent with law, regulations, or Government-wide policies. The National Archives and Records Administration created a regulatory regime to implement the requirements of EO 13556, to safeguard non-classified information. Also, each year NLRB employees receive training regarding insider threats.

**OBJECTIVES:**

The objective of this audit is to assess whether NLRB has controls in place to prevent, deter, detect, and mitigate actions by trusted insiders and to determine the effectiveness of the NLRB’s insider threat training.

**TIMING:**

Start Date – February 2023  
Estimated completion date – August 2023

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**AUDIT TITLE:** FISMA

**TYPE/SUBJECT:** Performance/Mission Support

**OFFICE:** Office of the Chief Information Officer

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**DESCRIPTION:**

The Federal Information Security Modernization Act of 2014 (FISMA) requires agencies to develop, document, and implement an agencywide security program for the information and the information systems that support the operations and assets of the agency, including those provided by another agency, a contractor, or another source. FISMA also requires that each Inspector General perform an annual independent evaluation to determine the effectiveness of the information security program and practices of its respective agency, including testing the effectiveness of information security policies, procedures, and practices for select systems.

To support annual independent evaluation requirements, the Department of Homeland Security publishes annual FISMA reporting metrics for Inspectors General to answer. This guidance directs Inspectors General to evaluate the effectiveness of agency information security programs across a variety of attributes grouped into nine security domains: risk management, supply chain risk management, configuration management, identity and access management, data protection and privacy, security training, information security continuous monitoring, incident response, and contingency planning. Each domain is rated on a maturity level spectrum ranging from “Ad hoc” for not having formalized policies, procedures, and strategies, to “Optimized” for fully institutionalizing sound policies, procedures, and strategies across the agency.

**OBJECTIVE:**

The objective of this audit is to evaluate the effectiveness of the NLRB’s information security program and practices.

**TIMING:**

Start date – February 2023  
Estimated completion date – September 2023

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**AUDIT TITLE:** NLRB Fiscal Year 2023 Financial Statements

**TYPE/SUBJECT:** Financial/Mission Support

**OFFICES:** Office of the Chief Financial Officer  
Finance Branch  
Office of the Chief Information Officer  
Division of Operations-Management

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**DESCRIPTION:**

NLRB must prepare and submit audited financial statements to the President’s Office of Management and Budget (OMB) and the Congress annually. The statements and audit report are to be incorporated into a Performance and Accountability Report (PAR) prepared by the Agency in accordance with OMB Circular No. A-136, Financial Reporting Requirements. The PAR must be submitted by November 15 following the close of the fiscal year.

The Inspector General will select an Independent Public Accountant to audit the NLRB Fiscal Year 2022 financial statements. The audit will be conducted using the Government Accountability Office (GAO)/ Council of the Inspectors General on Integrity and Efficiency's Financial Audit Manual and the GAO's Federal Information System Controls Audit Manual. The report will be prepared in accordance with generally accepted government auditing standards and OMB Bulletin No. 22-01, Audit Requirements for Federal Financial Statements.

**OBJECTIVES:**

The objectives of this audit are to (1) render an opinion as to whether the financial statements are fairly presented in conformity with generally accepted accounting principles, (2) report on material weaknesses and reportable conditions identified in obtaining an understanding of the Agency’s internal controls, and (3) report on the Agency’s compliance with laws and regulations, noncompliance with which could have a direct and material effect on the determination of financial statement amounts, and certain other laws and regulations.

**TIMING:**

Start date – March 2023

Estimated completion date - December 2023

**TOPICS REMOVED FROM THE PREVIOUS AUDIT PLAN**

| <b>Audit Name</b> | <b>Audit Objective</b> | <b>Reason for Removal</b> |
|-------------------|------------------------|---------------------------|
| N/A               | N/A                    | N/A                       |

**AUDIT UNIVERSE FY 2017 TO PRESENT**

|                                  | <b>FY 2023 Budget</b> |            |   |                          |   |
|----------------------------------|-----------------------|------------|---|--------------------------|---|
| <b>Program Area</b>              | <b>(\$ Million)</b>   | <b>FTE</b> | <b>Organization</b>                                   | <b>FMFIA Survey 2022</b> | <b>Reviews</b>  |
| <b>Casehandling</b>              | <b>\$176.9</b>        | <b>950</b> | <a href="#">GC's Immediate Office</a>                 |                          |   |
|                                  |                       |            | <a href="#">Operations-Management</a>                 | Complies                 | Backpay Payments (OIG-AMR-79-17-01)<br>NxGen Data Accuracy (OIG-AMR-94-22-02)<br>Casehandling Efficiency (OIG-AMR-97-22-04) |
|                                  |                       |            | <a href="#">Advice</a>                                | Complies                 |   |
|                                  |                       |            | <a href="#">Enforcement Litigation</a>                | Complies                 |   |
|                                  |                       |            | <a href="#">Division of Legal Counsel</a>             | Complies                 |   |
|                                  |                       |            |   |                          |   |
| <b>Administrative Law Judges</b> | <b>\$8.8</b>          | <b>37</b>  | <a href="#">Judges</a>                                | Complies                 | Division of Judges Audit (OIG-AMR-98-22-06)   |
| <b>Board Adjudication</b>        | <b>\$23.1</b>         | <b>103</b> | <a href="#">Board Members</a>                         |                          |   |
|                                  |                       |            | <a href="#">Executive Secretary</a>                   | Complies                 |   |
|                                  |                       |            | <a href="#">Representation Appeals</a>                | Complies                 |   |
|                                  |                       |            | <a href="#">Solicitor</a>                             | Complies                 |   |
| <b>Mission Support</b>           | <b>\$109.0</b>        | <b>209</b> | <a href="#">Office of the Chief Financial Officer</a> |                          | DATA Act Implementation (OIG-AMR-83-18-01)<br>DATA Act (OIG-AMR-89-20-01)<br>DATA Act (OIG-AMR-95-21-03)                    |
|                                  |                       |            | <a href="#">Acquisitions Management</a>               | Complies                 | Purchase Cards (OIG-AMR-80-18-02)   |

|              | FY 2023 Budget |     |              |                   |   |
|--------------|----------------|-----|--------------|-------------------|---|
| Program Area | (\$ Million)   | FTE | Organization | FMFIA Survey 2022 | Reviews   |
|              |                |     |              |                   | Purchase Card Forensics (OIG-AMR-84-18-03)                      |
|              |                |     |              |                   | Procurement Process (OIG-AMR-99) (ongoing)                      |
|              |                |     | Budget       | Complies          | FY 2019 Budget Execution (OIG-AMR-91-20-04)                     |
|              |                |     | Finance      | Complies          | NLRB Fiscal Year 2016 Financial Statements (OIG-F-21-17-01)     |
|              |                |     |              |                   | NLRB Fiscal Year 2017 Financial Statements (OIG-F-22-18-01)     |
|              |                |     |              |                   | NLRB Fiscal Year 2018 Financial Statements (OIG-F-23-19-01)     |
|              |                |     |              |                   | Internal Controls Over Backpay Disbursements (OIG-AMR-82-19-03) |
|              |                |     |              |                   | Backpay Accounting (OIG-AMR-88-20-03)                           |
|              |                |     |              |                   | NLRB Fiscal Year 2019 Financial Statements (OIG-F-24-20-01)     |
|              |                |     |              |                   | NLRB Fiscal Year 2020 Financial Statements (OIG-F-25-21-01)     |
|              |                |     |              |                   | NLRB Fiscal Year 2021 Financial Statements (OIG-F-26-01)        |

|              | FY 2023 Budget |     |   |                   |  |
|--------------|----------------|-----|---|-------------------|--|
| Program Area | (\$ Million)   | FTE | Organization                            | FMFIA Survey 2022 | Reviews  |
|              |                |     |   |                   | NLRB Fiscal Year 2022 Financial Statements (OIG-F-27) (ongoing)  |
|              |                |     | Division of Administration              |                   |  |
|              |                |     | Human Resources                         | Complies          | Senior Executive Service Pay (OIG-AMR-86-19-01)  |
|              |                |     | Facilities and Property                 | Complies          |  |
|              |                |     | Security                                | Complies          |  |
|              |                |     | Employee Development                    | Complies          |  |
|              |                |     | Public Affairs                          | Complies          |  |
|              |                |     | Equal Employment Opportunity            | Complies          |  |
|              |                |     | Office of the Chief Information Officer | Complies          | Federal Information Security Management – FY 2016 (OIG-INS-71)<br>Federal Information Security Management – FY 2017 (No Number)<br>Fiscal Year 2018 FISMA (OIG-AMR-87-19-02)<br>Fiscal Year 2019 FISMA (OIG-AMR-90-20-02)<br>Fiscal Year 2020 FISMA (OIG-AMR-93-21-02) |

|                   | FY 2023 Budget |              |                   |                   |   |
|-------------------|----------------|--------------|-------------------|-------------------|---|
| Program Area      | (\$ Million)   | FTE          | Organization      | FMFIA Survey 2022 | Reviews   |
|                   |                |              |                   |                   | Fiscal Year 2021 FISMA (OIG-AMR-96-22-03)<br>Fiscal Year 2022 FISMA (OIG-AMR-100-22-05) |
| Inspector General | \$1.6          | 6            | Inspector General |                   |   |
| <b>Total</b>      | <b>\$319.4</b> | <b>1,305</b> |                   |                   |   |



**AUDIT UNIVERSE REVIEWS**  
**FY 2000 – FY 2016**

**INFORMATION**

- Internet Web-Site User Privacy (OIG-INS-10-00-07)
- Data Accuracy in the FY 1999 NLRB Annual Report (OIG-INS-16-01-03)
- Timeliness of Posting Board Decisions (OIG-INS-23-02-03)
- Data Accuracy in the FY 2001 NLRB Annual Report (OIG-AMR-39-03-04)

**BOARD MEMBERS**

- Board Statistical Study (OIG-INS-49-07-01)

**EXECUTIVE SECRETARY**

- Board Casehandling Timeliness (OIG-AMR-26-00-02)
- Board Casehandling Reports (OIG-AMR-37-02-03)
- Cost Analysis of Serving Decisions and Orders (OIG-INS-55-08-03)
- Office of the Executive Secretary Survey (OIG-AMR-60-09-02)

**REPRESENTATION APPEALS**

- Survey of the Office of Representation Appeals (OIG-AMR-44-05-01)

**JUDGES**

- Administrative Law Judge Procedures (OIG-AMR-24)

**OPERATIONS-MANAGEMENT**

- NLRB's Program for Responding to Allegations which Could Result in Criminal or Administrative Actions against Agency Employees (OIG-AMR-12)
- Quality Control Program over Casehandling (OIG-AMR-17)
- Backpay (OIG-AMR-25)
- Agency Leased Vehicles (OIG-AMR-38-03-01)
- Backpay Financial Management and Reporting Requirements (OIG-INS-27-03-04)
- Merit Dismissal Procedures (OIG-INS-30-04-01)
- The Collyer Deferral Process (OIG-AMR-41-04-01)
- Regional Office Casehandling Efficiency (OIG-AMR-50-06-01)
- Witness Fees (OIG-INS-42-06-02)
- Impact Analysis (OIG-AMR-54-07-01)
- Bilingual Awards (OIG-INS-53-08-04)
- Financial Remedies and Other Settlement Terms (OIG-AMR-63-10-02)
- Case Processing Costs (OIG-AMR-64-11-02)
- Compliance with Unfair Labor Practice Procedures (OIG-AMR-68-13-01)

## **ADVICE**

- Section 10(j) Filings (OIG-INS-29-04-02)
- FOIA Processing (OIG-INS-36-05-02)

## **ENFORCEMENT LITIGATION**

- Compliance Actions (OIG-AMR-35-03-02)
- Office of Appeals Survey (OIG-AMR-53-06-03)

## **LEGAL COUNSEL**

- Freedom of Information Act (OIG-AMR-78-16-01)

## **ADMINISTRATION**

- Travel and Purchase Card Use (OIG-AMR-36-02-02)
- Travel and Purchase Card Transactions (OIG-INS-44)
- Continuity of Operations (OIG-AMR-55-07-03)
- Credit Union (OIG-INS-54-08-02)
- Compliance with Climate Change Initiatives (OIG-INS-67-13-01)

## **SECURITY**

- NLRB Control over Kastle Systems Security Cards (OIG-AMR-2)
- Combination Door Lock Inspection (OIG-INS-19-01-02)
- Agency Procedures for Control of Identification Badges (OIG-INS-25-03-03)
- Personnel Security (OIG-AMR-73-15-01)

## **BUDGET**

- Budget Execution at the NLRB for FY 1988-1991 (OIG-F-4)
- NLRB's Budget Formulation Process (OIG-F-6)
- Budget Execution (OIG-INS-41-06-04)
- Budget Submission Case Processing Data (OIG-AMR-58-08-02)

## **FINANCE**

- NLRB Remittances (OIG-F-1)
- Accountability and Control over Imprest Funds and Travelers Checks (OIG-F-2)
- Financial Audit of FY 1996 Appropriation (OIG-F-7)
- NLRB's FY 1999 Accounting and Reporting Systems (OIG-F-8-01-01)
- Accountability and Control over Travel Advances (OIG-AMR-4)
- Alleged Improprieties of an Employee's Travel Account (OIG-AMR-5)
- Accounting and Reporting Systems in the Brooklyn Regional Office (OIG-INS-06-00-03)
- Accounting and Reporting Systems in the Cleveland Regional Office (OIG-INS-07-00-04)
- Accounting and Reporting Systems in the Seattle Regional Office (OIG-INS-08-00-05)

- Accounting and Reporting Systems in the Chicago Regional Office (OIG-INS-09-00-06)
- Agency Procedures for the Collection of Non-tax Delinquent Debt (OIG-INS-17-02-01)
- NLRB FY 2004 Financial Statements (OIG-F-9-05-01)
- Airline Ticket Purchases (OIG-AMR-46-05-03)
- Interagency Balances (OIG-INS-37-05-04)
- NLRB FY 2005 Financial Statements (OIG-F-10-06-01)
- Reimbursable Travel (OIG-INS-43-06-03)
- NLRB Fiscal Year 2006 Financial Statements (OIG-F-11-07-01)
- NLRB Fiscal Year 2007 Financial Statements (OIG-F-12-08-01)
- Tax Gap (OIG-INS-52-08-01)
- NLRB Fiscal Year 2008 Financial Statements (OIG-F-13-09-01)
- NLRB Fiscal Year 2009 Financial Statements (OIG-F-14-10-01)
- NLRB Fiscal Year 2010 Financial Statements (OIG-F-15-11-01)
- Travel Cards (OIG-AMR-66-11-01)
- NLRB Fiscal Year 2011 Financial Statements (OIG-F-16-12-01)
- NLRB Fiscal Year 2012 Financial Statements (OIG-F-17-13-01)
- NLRB Fiscal Year 2013 Financial Statements (OIG-F-18-14-01)
- Fiscal Year Sequestration - Preparation, Implementation, and Impact (OIG-AMR-72-14-02)
- NLRB Fiscal Year 2014 Financial Statements (OIG-F-19-15-01)
- Travel Cards (OIG-AMR-75-15-02)
- NLRB Fiscal Year 2015 Financial Statements (OIG-F-20-16-01)

### **LIBRARY AND ADMINISTRATIVE SERVICES**

- Controls over Motor Vehicles and Gasoline Credit Cards (OIG-AMR-10)
- Westlaw Usage (OIG-INS-31-04-04)
- Archiving of Case Files (OIG-AMR-43-04-03)

### **HUMAN RESOURCES**

- Overlapping Functions between the Division of Operations-Management and the Division of Administration (OIG-AMR-18)
- Employee Appraisal Process (OIG-AMR-19)
- Time and Attendance Practices (OIG-AMR-29-00-01)
- Bar Status of Agency Employees (OIG-INS-18-01-01)
- Drug Free Workplace (OIG-IA-02-01)
- Consistency in Serving Suspensions (OIG-INS-21-03-01)
- Employee Education Credentials (OIG-INS-28-03-05)
- Timeliness of Personnel Actions (OIG-AMR-42-04-02)
- Non-Standard Work Hours Compensation (OIG-AMR-47-05-04)
- Safeguarding Social Security Numbers (OIG-AMR-48-05-05)
- Agency Retirement Records (OIG-INS-38-05-03)

- Transit Subsidies (OIG-INS-40-06-01)
- FEMA Mission Assignment Process (OIG-INS-48-06-07)
- Federal Employees Compensation Act (OIG-INS-50-07-02)
- Health Services (OIG-AMR-56-07-04)
- Official Time for Union Activities (OIG-AMR-62-10-01)
- Restoration of Annual Leave (OIG-INS-58-10-01)
- Transit Subsidy (OIG-INS-62-11-03)
- Division of Administration Pilot Employee Recognition Program (OIG-AMR-71-14-01)

### **EMPLOYEE DEVELOPMENT**

- Attendance at Agency Training (OIG-INS-26-03-02)
- Training and Conferences (OIG-AMR-77-16-02)

### **PROCUREMENT AND FACILITIES**

- NLRB's Controls over Capitalized Property (OIG-F-5)
- NLRB's Management Controls over Advisory and Assistance Type Contracts (OIG-AMR-13)
- PCIE Coordinated Review of Government Credit Card Programs (OIG-AMR-21)
- Handicap Accessibility Inspection (OIG-INS-11-00-09)
- Certification of Toll Calls (OIG-INS-12-00-08)
- Procurement of Court Reporting Services (OIG-AMR-31-01-01)
- Review of Cellular Telephone Usage (OIG-INS-32-04-03)
- Spending for Safety Supplies and Services (OIG-INS-35-05-01)
- Monitoring Building Leases (OIG-AMR-45-05-02)
- Information Technology Procurement Actions (OIG-AMR-51-06-02)
- Procurement Actions (OIG-AMR-52-07-02)

### **ACQUISITIONS MANAGEMENT**

- Purchase Cards (OIG-AMR-65-11-03)
- End of the Year Spending (OIG-AMR-70-12-02)

### **OFFICE OF EQUAL EMPLOYMENT OPPORTUNITY**

- Internal Controls in the Office of Equal Employment Opportunity (OIG-AMR-67-12-01)

### **OFFICE OF THE CHIEF INFORMATION OFFICER**

- Computer Maintenance Contract for FY 1996 and 1997 (OIG-AMR-20)
- NLRB's Efforts Regarding the Year 2000 Problem (OIG-AMR-27)
- Case Activity Tracking System (OIG-AMR-28)
- Information Systems Security (OIG-AMR-30-00-03)
- CATS Information Security (OIG-AMR-33-01-02)

- Property Controls over ADP Items (OIG-AMR-32-01-03)
- Monitoring the Computer Maintenance Contract (OIG-AMR-34-02-01)
- Computer Penetration Test (OIG-INS-20-02-02)
- FY 2002 GISRA Review (OIG-INS-24 – No Report #)
- Information Security Review of New Automated Systems (OIG-AMR-40-03-03)
- Software Licensing Agreements (OIG-INS-33-04-05)
- Federal Information Security Management Act (OIG-INS-34-No Report #)
- Federal Information System Management Act (OIG-INS-39-No Report #)
- NLRB Technology Initiatives as Related to the Rehabilitation Act (OIG-AMR-49-05-06)
- Federal Information Security Management – FY 2006 (OIG-INS-45-No Report #)
- Trusted Insider Threat (OIG-INS-47-06-05)
- Protection of Sensitive Agency Information (OIG-INS-46-06-06)
- Federal Information Security Management – FY 2007 (OIG-INS-51-No Report #)
- Office of the Chief Information Officer Procurement Functions (OIG-AMR-57-08-01)
- Federal Information Security Management – FY 2008 (OIG-INS-56)
- Laptop Computer Accountability and Security (OIG-AMR-59-09-01)
- Federal Information Security Management – FY 2009 (OIG-INS-57)
- Headquarters Productivity during Government Closure in February 2010 (OIG-INS-59-10-02)
- Federal Information Security Management – FY 2010 (OIG-INS-60)
- NxGen Penetration Test (OIG-INS-61-11-01)
- Hard Drive Failures (OIG-INS-63-11-02)
- Federal Information Security Management – FY 2011 (OIG-INS-64)
- Federal Information Security Management – FY 2012 (OIG-INS-66)
- Federal Information Security Management – FY 2013 (OIG-INS-68)
- Cloud Computing (OIG-AMR-74-14-03)
- Federal Information Security Management – FY 2014 (OIG-INS-69)
- Federal Information Security Management – FY 2015 (OIG-INS-70)

### **GENERAL AGENCY**

- FMFIA Section 2 (OIG-AMR-14)
- FMFIA Section 4 (OIG-AMR-15)
- NLRB's Compliance with the FMFIA of 1982 (OIG-INS-04-00-01)

### **NLRB**

- Performance Measurement (OIG-AMR-16)
- Update of Results Act Implementation (OIG-INS-05-00-02)