

Member David Prouty Official Recusal List

August 18, 2023

Scope: Former Clients and Former Employers (See list below)
Source: Ethics Pledge
Duration: Two Years from Appointment – September 22, 2021 to September 22, 2023

Note: After the Ethics Pledge Period expires, it is still appropriate to consider whether participation in a case in which an entity that appears on this list is a party or representative would raise appearance concerns.

- SEIU Local 32BJ
- Outstanding and Unique Resources for (O.U.R.) Upper Nyack Kids, Inc.
- Major League Baseball Players Association Players Trust

Recused From:

Refuah Health Center, Inc.

Source of Obligation:

CY 2023 OGE 278e Report

Duration:

Recusal is required while Member Prouty's spouse is employed by the Refuah Health Center, Inc.

Scope: Financial Interests
Source: CY 2023 OGE 278e Report
Duration: Recusal is required as long as these assets exceed the regulatory exemption of \$15,000.

- Aegon Funding Company, LLC.
- Affiliated Managers Group
- American Financial Group Inc.
- American International Group Inc.
- Arch Capital Group Ltd.
- AT&T Inc.
- Bank of America
- B Riley Financial Inc.
- Blackstone REIT CL I NSA
- Brighthouse Financial Inc.
- Broadmark Realty Capital Inc.
- Brookfield Property Partners
- Brunswick Corp
- Cardinal Health Inc.
- Citigroup
- Discover Financial Services
- Draftkings, Inc.
- Eagle Point Credit Company

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- Equitable Holdings, Inc.
- Gladstone Investment Corp.
- Goldman Sachs Group Inc.
- Jefferies Group
- JP Morgan Chase
- Innovative Industrial Properties
- National Storage Affiliates Trust
- Oaktree Cap Group LLC
- OFS Capital Corporation
- Pitney Bowes Inc.
- Priority Income Fund, Inc.
- Prudential
- Stifel Financial Corp.
- UBS

The Ethics Office will update this list as new recusal obligations are identified, including as part of the yearly financial disclosure review process.